

10/510012  
DT04 Rec'd PCT/PTO 30 SEP 2004

DOCKET NO.: 4784/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
IN THE MATTER OF THE **PCT NATIONAL PHASE PATENT APPLICATION**

OF: Yoshiki HASHIZUME et al.

USSN: TO BE ASSIGNED - NEW

FILED: September 30, 2004

FOR: Color Metallic Pigment and Resin  
Composition Containing Color  
Metallic Pigment

USPS EXPRESS MAIL  
EV 511 024 032 US  
SEPTEMBER 30 2004

INTERNATIONAL SERIAL NO.: PCT/JP03/04261  
INTERNATIONAL FILING DATE: 03 April 2003 (03.04.2003)

MS PCT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

September 30, 2004

INFORMATION DISCLOSURE STATEMENT

Dear Sir:


- 1) Pursuant to 37 C.F.R. 1.56, 1.97 and 1.98 applicants are enclosing a Form PTO-1449, references AF to AP, AR, AS, AU to AX, and AZ, English Abstracts of references AF, AH, AI, AK to AN, AP, AQ, AS, and AT to AA2 listed thereon, and a copy of the International Search Report (English version) issued in the corresponding PCT International Application PCT/JP03/04261 on June 17, 2003. Copies of references AQ, AT, AY and AA2 are not (and need not be) enclosed, because they have been transmitted to the USPTO by the International Searching Authority in the International processing of this PCT Application.
- 2) In view of the waiver of the requirement (see 1273 OG 55, August 5, 2003), we are not enclosing a copy of the cited US Patents (References AA to AE).
- 3) This Information Disclosure Statement is being filed simultaneously with the above identified new PCT Patent Application.
- 4) References AA to AE, AO are in English. English Abstracts for references AF, AH, AI, AK to AN, AP, AQ, AS, and AT to AA2 are enclosed. Reference AG corresponds to AA; AJ corresponds to AB;

AQ corresponds to AC and AE; AR corresponds to AO; AS corresponds to AC and AE; and AT corresponds to AD; from which the relevance can be determined in English. References AF to AN, AP, AR, AS, AU to AX and AZ have been discussed at pages 1 to 3 of the present specification. Therefore no further discussion of the references is necessary.

- 5) Moreover, the enclosed Search Report indicates the degree of relevance of references AQ, AT, AY and AA2 by category (X = relevant for anticipation or obviousness when considered alone; and Y = relevant for obviousness when combined with another document). Thereby, a concise explanation of the relevance has been provided (see M.P.E.P. §609).
- 6) The Examiner is requested to consider all references of record, return an initialed copy of the enclosed Form PTO-1449 and ensure that all references of record are printed on any patent issuing from this application.
- 7) Favorable consideration and allowance of all present claims 12 to 21 are respectfully requested.

Respectfully submitted,  
Yoshiki HASHIZUME et al.  
Applicant

WFF:ar/4784/PCT  
Encls.:  
postcard,  
copy of International Search  
Report, Form PTO-1449 (2 pgs.),  
18 references,  
18 English Abstracts

By   
Walter F. Fasse  
Patent Attorney  
Reg. No.: 36132  
Tel. No.: (207) 862-4671  
Fax. No.: (207) 862-4681  
P. O. Box 726  
Hampden, ME 04444-0726  
USPS EXPRESS MAIL  
EV 511 024 032 US  
SEPTEMBER 30 2004

Sheet 1 of 2

Atty. Docket No.  
4784/PCT

Serial No.:

10/4510012  
to be assignedLIST OF REFERENCES CITED BY  
APPLICANT

(REVISED FORM PTO-1449)

DATED: September 30, 2004

Applicant: Yoshiki HASHIZUME et al.

U.S. Filing Date:  
September 30, 2004

Art Unit:

## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	Fil. Date
	AA	4 3 2 8 0 4 2	05/1982	Ostertag et al.	-	-	-
	AB	4 8 6 7 7 9 4	09/1989	Ambrosius et al.	-	-	-
	AC	5 3 6 4 4 6 7	11/1994	Schmid et al.	-	-	-
	AE	5 6 2 4 4 8 6	04/1997	Schmid et al.	-	-	-
	AE	5 6 6 2 7 3 8	09/1989	Schmid et al.	-	-	-

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	Cl.	Sub- Cl.	Trans.	
							Yes	No
	AF	51- 1 5 0 5 3 2	12/1976	Japan	-	-	Abst	
	AG	56- 1 2 0 7 7 1	09/1981	Japan	-	-	=AA	
	AH	58- 1 4 1 2 4 8	08/1983	Japan	-	-	Abst	
	AI	63- 0 1 5 8 6 1	01/1988	Japan	-	-	Abst	
	AJ	63- 1 6 1 0 6 3	07/1988	Japan	-	-	=AB	
	AK	51- 1 1 0 5 6 8	04/1989	Japan	-	-	Abst	
	AL	01- 3 1 1 1 7 6	12/1989	Japan	-	-	Abst	
	AM	01- 3 1 5 4 7 0	12/1989	Japan	-	-	Abst	
	AN	01- 0 0 0 6 6 9	01/1980	Japan	-	-	Abst	
	AO	WO91 / 0 4 2 9 3	04/1991	PCT	-	-	X	
	AK	04- 0 2 8 7 7 1	01/1992	Japan	-	-	Abst	

## OTHER DOCUMENTS

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

USPS EXPRESS MAIL

USPS EXPRESS MAIL

EV 511 024 032 US

EV 511 024 032 US

Best Available Copy SEPTEMBER 30 2004

SEPTEMBER 30 2004

Sheet 2 of 2

Atty. Docket No.  
4784/PCT

Serial No.:

10/510012  
assigned

**LIST OF REFERENCES CITED BY  
APPLICANT**

(REVISED FORM PTO-1449)

DATED: September 30, 2004

Applicant: Yoshiki HASHIZUME et al.

U.S. Filing Date:  
September 30, 2004

Art Unit:

**U. S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	File Date

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.							DATE	COUNTRY	Cl.	Sub- Cl.	Trans.	
													Yes	No
	AQ	0	5	7	1	8	3	6	12/1993	Europe	-	-	=AC+ AE+ Abst	
	AR	05-	5	0	8	4	2	4	11/1993	Japan	-	-	=AO	
	AS	06-	-	3	2	9	9	4	02/1994	Japan	-	-	=AC+ AE+ Abst	
	AT	0	6	6	8	3	2	9	08/1995	Europe	-	-	=AD+ Abst	
	AU	09-	2	0	8	0	2	4	08/1996	Japan	-	-	Abst	
	AV	09-	0	4	0	8	8	5	12/1997	Japan	-	-	Abst	
	AW	09-	0	5	9	5	3	2	03/1997	Japan	-	-	Abst	
	AX	09-	1	2	4	9	7	3	05/1997	Japan	-	-	Abst	
	AY	09-	3	2	8	6	2	9	12/1997	Japan	-	-	Abst	
	AZ	2001-	3	1	6	6	0	9	11/2001	Japan	-	-	Abst	
	AA2	2002-	0	3	8	0	5	2	02/2002	Japan	-	-	Abst	

**OTHER DOCUMENTS**

--	--	--

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Best Available Copy

USPS EXPRESS MAIL  
EV 511 024 032 US  
SEPTEMBER 30 2004